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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/777,922	02/07/2001	Ikuo Kohashi	925-175

CONFIRMATION NO. 6185

FORMALITIES LETTER



\*OC000000005857160\*

NIXON & VANDERHYE P.C.  
1100 North Glebe Road, 8th Floor  
Arlington, VA 22201

Date Mailed: 03/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

*filed Generew*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/22/2001 AWONDAF1 00000034 09777922

01 FC:101  
02 FC:105

710.00 0P  
130.00 0P

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

~~Section 14~~

In re Patent Application of:

Attention: Application Branch

KOHASHI

Atty. Dkt. 925-175

Serial No. 09/777,921



Filed: February 7, 2001

Date: March 20, 2001

For: SEMICONDUCTOR LASER APPARATUS AND METHOD OF PRODUCING THE SAME

Assistant Commissioner for Patents

Washington, D.C. 20231

The attached completes filing of the above-identified patent application:

Signed Rule 63 Declaration alone OR  
 Signed Declaration plus attached copy of originally filed specification/drawings.  
 **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.  
 Record and return the attached assignment.  
 Priority is hereby claimed per Rule 55 & 35 USC119 based on prior foreign application(s) Nos.:

Application Nos.	Country	Filing Date
2000-028554	Japan	7 February 2000

respectively.

 This application is based on the following prior provisional application(s):

Application No.	Filing Date
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respectively and priority is hereby claimed therefrom.

Certified copy(ies) of foreign and/or provisional application(s):  attached;  already filed on February 7, 2001.

The undersigned verifies that the above-identified application is identical to: filed , as amended on  
 This application is entitled to "Small entity" status.  "Small entity" statement attached.  
 Also attached:

**Fees are attached as calculated below:**

Basic filing fee		\$ 710.00
Total Effective claims 9 - 20 = 0 x \$ 18.00		\$ 0.00
Independent claims 2 - 3 = 0 x \$ 80.00		\$ 0.00
If any proper multiple dependent claims now added for first time, add \$270.00 (ignore improper)		\$ 0.00
<b>FILING FEE</b>	\$ 710.00	
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$390.00/2 months; \$890.00/3 months; \$1390.00/4 months)		\$ 0.00
Surcharge (\$130.00) if Declaration or filing fee first now submitted		\$ 130.00
<b>FIRST SUBTOTAL</b>	\$ 840.00	
If "small entity," enter half (½) of subtotal and subtract	-\$ 0.00	
<b>SECOND SUBTOTAL</b>	\$ 840.00	
Assignment Recording Fee (\$40.00)		\$ 40.00
<b>TOTAL FEE ENCLOSED</b>	\$ 880.00	

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension.

The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached.1100 North Glebe Road, 8<sup>th</sup> Floor  
Arlington, Virginia 22201-4714Telephone: (703) 816-4000  
Facsimile: (703) 816-4100  
HWB:Ish

NIXON &amp; VANDERHYE P.C.

By Atty: H. Warren Burnam, Jr., Reg. No. 29,366

Signature: 